

CORRECTED VERSION

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
22 November 2001 (22.11.2001)

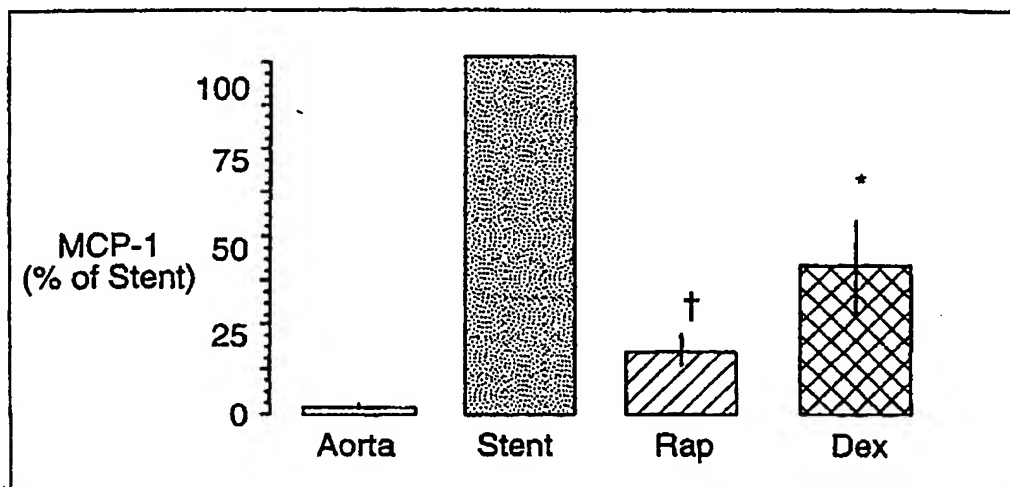
PCT

(10) International Publication Number  
WO 01/87376 A1

- (51) International Patent Classification<sup>7</sup>: A61L 31/16 (74) Agent: JOHNSON, Philip, S.; Johnson & Johnson, 1 Johnson & Johnson Plaza, New Brunswick, NJ 08903 (US).
- (21) International Application Number: PCT/US01/15564 (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.
- (22) International Filing Date: 14 May 2001 (14.05.2001)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
- |            |                              |    |
|------------|------------------------------|----|
| 60/204,417 | 12 May 2000 (12.05.2000)     | US |
| 09/575,480 | 19 May 2000 (19.05.2000)     | US |
| 60/262,614 | 18 January 2001 (18.01.2001) | US |
| 60/262,461 | 18 January 2001 (18.01.2001) | US |
| 60/263,806 | 24 January 2001 (24.01.2001) | US |
| 60/263,979 | 25 January 2001 (25.01.2001) | US |
| 09/850,293 | 7 May 2001 (07.05.2001)      | US |
- (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
- Published:  
— with international search report
- (71) Applicant: CORDIS CORPORATION [US/US]; 14201 N.W. 60th Avenue, Miami Lakes, NJ 33014 (US).
- (48) Date of publication of this corrected version: 4 April 2002
- (72) Inventor: FALOTICO, Robert; 40 Black Horse Run, Belle Mead, NJ 08502 (US).
- (15) Information about Correction:  
see PCT Gazette No. 14/2002 of 4 April 2002, Section II

[Continued on next page]

(54) Title: DRUG/DRUG DELIVERY SYSTEMS FOR THE PREVENTION AND TREATMENT OF VASCULAR DISEASE



(57) Abstract: A drug and drug delivery system may be utilized in the treatment of vascular disease. A local delivery system is coated with rapamycin or other suitable drug, agent or compound and delivered intraluminally for the treatment and prevention of neointimal hyperplasia following percutaneous transluminal coronary angiography. The local delivery of the drugs or agents provides for increased effectiveness and lower systemic toxicity.

BEST AVAILABLE COPY



WO 01/87376 A1



*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
22 November 2001 (22.11.2001)

PCT

(10) International Publication Number  
**WO 01/87376 A1**

(51) International Patent Classification<sup>7</sup>: **A61L 31/16**

(21) International Application Number: PCT/US01/15564

(22) International Filing Date: 14 May 2001 (14.05.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:

60/204,417	12 May 2000 (12.05.2000)	US
09/575,480	19 May 2000 (19.05.2000)	US
60/262,614	18 January 2001 (18.01.2001)	US
60/262,461	18 January 2001 (18.01.2001)	US
60/263,806	24 January 2001 (24.01.2001)	US
60/263,979	25 January 2001 (25.01.2001)	US
09/850,293	7 May 2001 (07.05.2001)	US

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

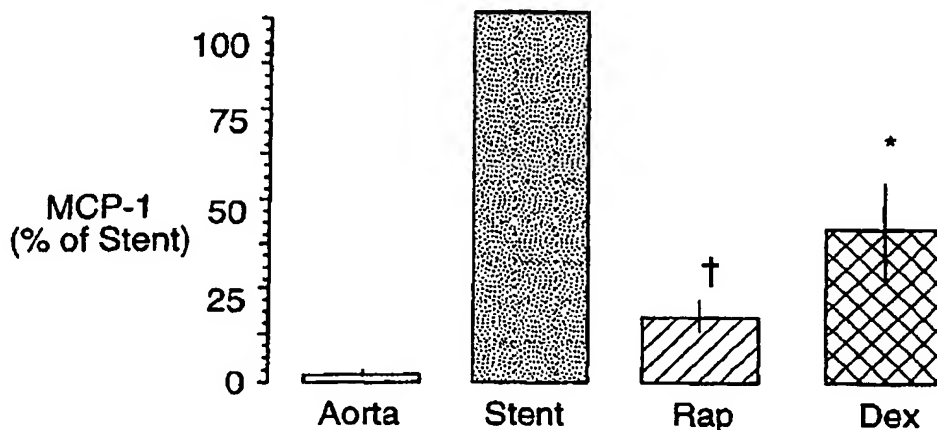
For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(71) Applicant: CORDIS CORPORATION [US/US]; 14201 N.W. 60th Avenue, Miami Lakes, NJ 33014 (US).

(72) Inventor: FALOTICO, Robert; 40 Black Horse Run, Belle Mead, NJ 08502 (US).

(74) Agent: JOHNSON, Philip, S.; Johnson & Johnson, 1 Johnson & Johnson Plaza, New Brunswick, NJ 08903 (US).

(54) Title: DRUG/DRUG DELIVERY SYSTEMS FOR THE PREVENTION AND TREATMENT OF VASCULAR DISEASE



(57) Abstract: A drug and drug delivery system may be utilized in the treatment of vascular disease. A local delivery system is coated with rapamycin or other suitable drug, agent or compound and delivered intraluminally for the treatment and prevention of neointimal hyperplasia following percutaneous transluminal coronary angiography. The local delivery of the drugs or agents provides for increased effectiveness and lower systemic toxicity.

WO 01/87376 A1

## DRUG/DRUG DELIVERY SYSTEMS FOR THE PREVENTION AND TREATMENT OF VASCULAR DISEASE

### CROSS REFERENCE TO RELATED APPLICATIONS

- 5           This application is a continuation-in-part application of U.S. Application  
Serial Number 09/575,480, filed on May 19, 2000 which claims the benefit of  
U.S. Provisional Application No. 60/204,417, filed May 12, 2000 and claims the  
benefit of U.S. Provisional Application No. 60/262,614, filed January 18, 2001,  
U.S. Provisional Application No. 60/262,461, filed January 18, 2001, U.S.  
10   Provisional Application No. 60/263,806, filed January 24, 2001 and U.S.  
Provisional Application No. 60/263,979, filed January 25, 2001.

### BACKGROUND OF THE INVENTION

#### 15           1.     Field of the Invention

          The present invention relates to drugs and drug delivery systems for the  
prevention and treatment of vascular disease, and more particularly to drugs  
and drug delivery systems for the prevention and treatment of neointimal  
20   hyperplasia.

#### 2.     Discussion of the Related Art

          Many individuals suffer from circulatory disease caused by a progressive  
25   blockage of the blood vessels that perfuse the heart and other major organs  
with nutrients. More severe blockage of blood vessels in such individuals often  
leads to hypertension, ischemic injury, stroke, or myocardial infarction.  
Atherosclerotic lesions, which limit or obstruct coronary blood flow, are the  
major cause of ischemic heart disease. Percutaneous transluminal coronary  
30   angioplasty is a medical procedure whose purpose is to increase blood flow  
through an artery. Percutaneous transluminal coronary angioplasty is the  
predominant treatment for coronary vessel stenosis. The increasing use of this  
procedure is attributable to its relatively high success rate and its minimal

invasiveness compared with coronary bypass surgery. A limitation associated with percutaneous transluminal coronary angioplasty is the abrupt closure of the vessel which may occur immediately after the procedure and restenosis which occurs gradually following the procedure. Additionally, restenosis is a chronic problem in patients who have undergone saphenous vein bypass grafting. The mechanism of acute occlusion appears to involve several factors and may result from vascular recoil with resultant closure of the artery and/or deposition of blood platelets and fibrin along the damaged length of the newly opened blood vessel.

10

Restenosis after percutaneous transluminal coronary angioplasty is a more gradual process initiated by vascular injury. Multiple processes, including thrombosis, inflammation, growth factor and cytokine release, cell proliferation, cell migration and extracellular matrix synthesis each contribute to the restenotic process.

15

While the exact mechanism of restenosis is not completely understood, the general aspects of the restenosis process have been identified. In the normal arterial wall, smooth muscle cells proliferate at a low rate, approximately less than 0.1 percent per day. Smooth muscle cells in the vessel walls exist in a contractile phenotype characterized by eighty to ninety percent of the cell cytoplasmic volume occupied with the contractile apparatus. Endoplasmic reticulum, Golgi, and free ribosomes are few and are located in the perinuclear region. Extracellular matrix surrounds the smooth muscle cells and is rich in heparin-like glycosylaminoglycans which are believed to be responsible for maintaining smooth muscle cells in the contractile phenotypic state (Campbell and Campbell, 1985).

20

25

Upon pressure expansion of an intracoronary balloon catheter during angioplasty, smooth muscle cells within the vessel wall become injured, initiating a thrombotic and inflammatory response. Cell derived growth factors such as platelet derived growth factor, fibroblast growth factor, epidermal growth factor, thrombin, etc., released from platelets, invading macrophages

30

and/or leukocytes, or directly from the smooth muscle cells provoke proliferative and migratory responses in medial smooth muscle cells. These cells undergo a change from the contractile phenotype to a synthetic phenotype characterized by only a few contractile filament bundles, extensive rough endoplasmic reticulum, Golgi and free ribosomes. Proliferation/migration usually begins within one to two days post-injury and peaks several days thereafter (Campbell and Campbell, 1987; Clowes and Schwartz, 1985).

Daughter cells migrate to the intimal layer of arterial smooth muscle and continue to proliferate and secrete significant amounts of extracellular matrix proteins. Proliferation, migration and extracellular matrix synthesis continue until the damaged endothelial layer is repaired at which time proliferation slows within the intima, usually within seven to fourteen days post-injury. The newly formed tissue is called neointima. The further vascular narrowing that occurs over the next three to six months is due primarily to negative or constrictive remodeling.

Simultaneous with local proliferation and migration, inflammatory cells invade the site of vascular injury. Within three to seven days post-injury, inflammatory cells have migrated to the deeper layers of the vessel wall. In animal models employing either balloon injury or stent implantation, inflammatory cells may persist at the site of vascular injury for at least thirty days (Tanaka et al., 1993; Edelman et al., 1998). Inflammatory cells therefore are present and may contribute to both the acute and chronic phases of restenosis.

Numerous agents have been examined for presumed anti-proliferative actions in restenosis and have shown some activity in experimental animal models. Some of the agents which have been shown to successfully reduce the extent of intimal hyperplasia in animal models include: heparin and heparin fragments (Clowes, A.W. and Karnovsky M., *Nature* 265: 25-26, 1977; Guyton, J.R. et al., *Circ. Res.*, 46: 625-634, 1980; Clowes, A.W. and Clowes, M.M., *Lab. Invest.* 52: 611-616, 1985; Clowes, A.W. and Clowes, M.M., *Circ. Res.* 58:

839-845, 1986; Majesky et al., *Circ. Res.* 61: 296-300, 1987; Snow et al., *Am. J. Pathol.* 137: 313-330, 1990; Okada, T. et al., *Neurosurgery* 25: 92-98, 1989), colchicine (Currier, J.W. et al., *Circ.* 80: 11-66, 1989), taxol (Solliot, S.J. et al., *J. Clin. Invest.* 95: 1869-1876, 1995), angiotensin converting enzyme (ACE) inhibitors (Powell, J.S. et al., *Science*, 245: 186-188, 1989), angiopeptin (Lundergan, C.F. et al. *Am. J. Cardiol.* 17(Suppl. B):132B-136B, 1991), cyclosporin A (Jonasson, L. et al., *Proc. Natl. Acad. Sci.*, 85: 2303, 1988), goat-anti-rabbit PDGF antibody (Ferns, G.A.A., et al., *Science* 253: 1129-1132, 1991), terbinafine (Nemecek, G.M. et al., *J. Pharmacol. Exp. Thera.* 248: 1167-1174, 1989), trapidil (Liu, M.W. et al., *Circ.* 81: 1089-1093, 1990), tranilast (Fukuyama, J. et al., *Eur. J. Pharmacol.* 318: 327-332, 1996), interferon-gamma (Hansson, G.K. and Holm, J., *Circ.* 84: 1266-1272, 1991), rapamycin (Marx, S.O. et al., *Circ. Res.* 76: 412-417, 1995), corticosteroids (Colburn, M.D. et al., *J. Vasc. Surg.* 15: 510-518, 1992), see also Berk, B.C. et al., *J. Am. Coll. Cardiol.* 17: 111B-117B, 1991), ionizing radiation (Weinberger, J. et al., *Int. J. Rad. Onc. Biol. Phys.* 36: 767-775, 1996), fusion toxins (Farb, A. et al., *Circ. Res.* 80: 542-550, 1997) antisense oligonucleotides (Simons, M. et al., *Nature* 359: 67-70, 1992) and gene vectors (Chang, M.W. et al., *J. Clin. Invest.* 96: 2260-2268, 1995). Anti-proliferative effects on smooth muscle cells *in vitro* have been demonstrated for many of these agents, including heparin and heparin conjugates; taxol, tranilast, colchicine, ACE inhibitors, fusion toxins, antisense oligonucleotides, rapamycin and ionizing radiation. Thus, agents with diverse mechanisms of smooth muscle cell inhibition may have therapeutic utility in reducing intimal hyperplasia.

25

However, in contrast to animal models, attempts in human angioplasty patients to prevent restenosis by systemic pharmacologic means have thus far been unsuccessful. Neither aspirin-dipyridamole, ticlopidine, anti-coagulant therapy (acute heparin, chronic warfarin, hirudin or hirulog), thromboxane receptor antagonism nor steroids have been effective in preventing restenosis, although platelet inhibitors have been effective in preventing acute reocclusion after angioplasty (Mak and Topol, 1997; Lang et al., 1991; Popma et al., 1991). The platelet GP IIb/IIIa receptor, antagonist, Reopro is still under study but has

30

not shown promising results for the reduction in restenosis following angioplasty and stenting. Other agents, which have also been unsuccessful in the prevention of restenosis, include the calcium channel antagonists, prostacyclin mimetics, angiotensin converting enzyme inhibitors, serotonin  
5 receptor antagonists, and anti-proliferative agents. These agents must be given systemically, however, and attainment of a therapeutically effective dose may not be possible; anti-proliferative (or anti-restenosis) concentrations may exceed the known toxic concentrations of these agents so that levels sufficient to produce smooth muscle inhibition may not be reached (Mak and Topol,  
10 1997; Lang et al., 1991; Popma et al., 1991).

Additional clinical trials in which the effectiveness for preventing restenosis utilizing dietary fish oil supplements or cholesterol lowering agents has been examined showing either conflicting or negative results so that no  
15 pharmacological agents are as yet clinically available to prevent post-angioplasty restenosis (Mak and Topol, 1997; Franklin and Faxon, 1993; Serruys, P.W. et al., 1993). Recent observations suggest that the antilipid/antioxidant agent, probucol may be useful in preventing restenosis but this work requires confirmation (Tardif et al., 1997; Yokoi, et al., 1997).  
20 Probucol is presently not approved for use in the United States and a thirty-day pretreatment period would preclude its use in emergency angioplasty. Additionally, the application of ionizing radiation has shown significant promise in reducing or preventing restenosis after angioplasty in patients with stents (Teirstein et al., 1997). Currently, however, the most effective treatments for  
25 restenosis are repeat angioplasty, atherectomy or coronary artery bypass grafting, because no therapeutic agents currently have Food and Drug Administration approval for use for the prevention of post-angioplasty restenosis.

30 Unlike systemic pharmacologic therapy, stents have proven effective in significantly reducing restenosis. Typically, stents are balloon-expandable slotted metal tubes (usually, but not limited to, stainless steel), which, when expanded within the lumen of an angioplastied coronary artery, provide



structural support through rigid scaffolding to the arterial wall. This support is helpful in maintaining vessel lumen patency. In two randomized clinical trials, stents increased angiographic success after percutaneous transluminal coronary angioplasty, by increasing minimal lumen diameter and reducing, but  
5 not eliminating, the incidence of restenosis at six months (Serruys et al., 1994; Fischman et al., 1994).

Additionally, the heparin coating of stents appears to have the added benefit of producing a reduction in sub-acute thrombosis after stent  
10 implantation (Serruys et al., 1996). Thus, sustained mechanical expansion of a stenosed coronary artery with a stent has been shown to provide some measure of restenosis prevention, and the coating of stents with heparin has demonstrated both the feasibility and the clinical usefulness of delivering drugs locally, at the site of injured tissue.

15

Accordingly, there exists a need for effective drugs and drug delivery systems for the effective prevention and treatment of neointimal thickening that occurs after percutaneous transluminal coronary angioplasty and stent  
implantation.

20

#### SUMMARY OF THE INVENTION

The drugs and drug delivery systems of the present invention provide a  
25 means for overcoming the difficulties associated with the methods and devices currently in use as briefly described above.

In accordance with one aspect, the present invention is directed to a method for the prevention of constrictive remodeling. The method comprises  
30 the controlled delivery, by release from an intraluminal medical device, of a compound in therapeutic dosage amounts.

In accordance with another aspect, the present invention is directed to a drug delivery device. The drug delivery device comprises an intraluminal medical device and a therapeutic dosage of an agent releasably affixed to the intraluminal medical device for the treatment of constrictive vascular remodeling.

The drugs and drug delivery systems of the present invention utilize a stent or graft in combination with rapamycin or other drugs/agents/compounds to prevent and treat neointimal hyperplasia, i.e. restenosis, following percutaneous transluminal coronary angioplasty and stent implantation. It has been determined that rapamycin functions to inhibit smooth muscle cell proliferation through a number of mechanisms. It has also been determined that rapamycin eluting stent coatings produce superior effects in humans, when compared to animals, with respect to the magnitude and duration of the reduction in neointimal hyperplasia. Rapamycin administration from a local delivery platform also produces an anti-inflammatory effect in the vessel wall that is distinct from and complimentary to its smooth muscle cell anti-proliferative effect. In addition, it has also been demonstrated that rapamycin inhibits constrictive vascular remodeling in humans.

Other drugs, agents or compounds which mimic certain actions of rapamycin may also be utilized in combination with local delivery systems or platforms.

The local administration of drugs, agents or compounds to stented vessels have the additional therapeutic benefit of higher tissue concentration than that which would be achievable through the systemic administration of the same drugs, agents or compounds. Other benefits include reduced systemic toxicity, single treatment, and ease of administration. An additional benefit of a local delivery device and drug, agent or compound therapy may be to reduce the dose of the therapeutic drugs, agents or compounds and thus limit their toxicity, while still achieving a reduction in restenosis.

### BRIEF DESCRIPTION OF THE DRAWINGS

The foregoing and other features and advantages of the invention will  
5 be apparent from the following, more particular description of preferred  
embodiments of the invention, as illustrated in the accompanying drawings.

Figure 1 is a chart indicating the effectiveness of rapamycin as an anti-  
inflammatory relative to other anti-inflammatories.

Figure 2 is a view along the length of a stent (ends not shown) prior to  
expansion showing the exterior surface of the stent and the characteristic  
banding pattern.

Figure 3 is a perspective view of the stent of Figure 1 having reservoirs  
in accordance with the present invention.

### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

As stated above, the proliferation of vascular smooth muscle cells in  
response to mitogenic stimuli that are released during balloon angioplasty and  
stent implantation is the primary cause of neointimal hyperplasia. Excessive  
neointimal hyperplasia can often lead to impairment of blood flow, cardiac  
25 ischemia and the need for a repeat intervention in selected patients in high risk  
treatment groups. Yet repeat revascularization incurs risk of patient morbidity  
and mortality while adding significantly to the cost of health care. Given the  
widespread use of stents in interventional practice, there is a clear need for  
safe and effective inhibitors of neointimal hyperplasia.

Rapamycin is a macrocyclic triene antibiotic produced by streptomyces  
hygroscopicus as disclosed in U.S. Patent No. 3,929,992. It has been found  
that rapamycin inhibits the proliferation of vascular smooth muscle cells *in vivo*.

Accordingly, rapamycin may be utilized in treating intimal smooth muscle cell hyperplasia, restenosis and vascular occlusion in a mammal, particularly following either biologically or mechanically mediated vascular injury, or under conditions that would predispose a mammal to suffering such a vascular injury.

- 5 Rapamycin functions to inhibit smooth muscle cell proliferation and does not interfere with the re-endothelialization of the vessel walls.

Rapamycin functions to inhibit smooth muscle cell proliferation through a number of mechanisms. In addition, rapamycin reduces the other effects  
10 caused by vascular injury, for example, inflammation. The operation and various functions of rapamycin are described in detail below. Rapamycin as used throughout this application shall include rapamycin, rapamycin analogs, derivatives and congeners that bind FKBP12 and possess the same pharmacologic properties as rapamycin.

15

Rapamycin reduces vascular hyperplasia by antagonizing smooth muscle proliferation in response to mitogenic signals that are released during angioplasty. Inhibition of growth factor and cytokine mediated smooth muscle proliferation at the late G1 phase of the cell cycle is believed to be the  
20 dominant mechanism of action of rapamycin. However, rapamycin is also known to prevent T-cell proliferation and differentiation when administered systemically. This is the basis for its immunosuppressive activity and its ability to prevent graft rejection.

25 The molecular events that are responsible for the actions of rapamycin, a known anti-proliferative, which acts to reduce the magnitude and duration of neointimal hyperplasia, are still being elucidated. It is known, however, that rapamycin enters cells and binds to a high-affinity cytosolic protein called FKBP12. The complex of rapamycin and FKBP12 in turn binds to and inhibits  
30 a phosphoinositide (PI)-3 kinase called the "mammalian Target of Rapamycin" or TOR. TOR is a protein kinase that plays a key role in mediating the downstream signaling events associated with mitogenic growth factors and cytokines in smooth muscle cells and T lymphocytes. These events include

phosphorylation of p27, phosphorylation of p70 s6 kinase and phosphorylation of 4BP-1, an important regulator of protein translation.

It is recognized that rapamycin reduces restenosis by inhibiting  
5 neointimal hyperplasia. However, there is evidence that rapamycin may also inhibit the other major component of restenosis, namely, negative remodeling. Remodeling is a process whose mechanism is not clearly understood but which results in shrinkage of the external elastic lamina and reduction in  
lumenal area over time, generally a period of approximately three to six months  
10 in humans.

Negative or constrictive vascular remodeling may be quantified angiographically as the percent diameter stenosis at the lesion site where there is no stent to obstruct the process. If late lumen loss is abolished *in-lesion*, it  
15 may be inferred that negative remodeling has been inhibited. Another method of determining the degree of remodeling involves measuring *in-lesion* external elastic lamina area using intravascular ultrasound (IVUS). Intravascular ultrasound is a technique that can image the external elastic lamina as well as the vascular lumen. Changes in the external elastic lamina proximal and distal  
20 to the stent from the post-procedural timepoint to four-month and twelve-month follow-ups are reflective of remodeling changes.

Evidence that rapamycin exerts an effect on remodeling comes from human implant studies with rapamycin coated stents showing a very low  
25 degree of restenosis *in-lesion* as well as *in-stent*. *In-lesion* parameters are usually measured approximately five millimeters on either side of the stent i.e. proximal and distal. Since the stent is not present to control remodeling in these zones which are still affected by balloon expansion, it may be inferred that rapamycin is preventing vascular remodeling.

30

The data in Table 1 below illustrate that *in-lesion* percent diameter stenosis remains low in the rapamycin treated groups, even at twelve months.

Accordingly, these results support the hypothesis that rapamycin reduces remodeling.

**Angiographic *In-Lesion* Percent Diameter Stenosis  
(%, mean  $\pm$  SD and "n=") In Patients Who Received a  
Rapamycin-Coated Stent**

Coating Group	Post Placement	4 – 6 month Follow Up	12 month Follow Up
Brazil	10.6 $\pm$ 5.7 (30)	13.6 $\pm$ 8.6 (30)	22.3 $\pm$ 7.2 (15)
Netherlands	14.7 $\pm$ 8.8	22.4 $\pm$ 6.4	-

TABLE 1.0

Additional evidence supporting a reduction in negative remodeling with rapamycin comes from intravascular ultrasound data that was obtained from a first-in-man clinical program as illustrated in Table 2 below.

**Matched IVUS data in Patients Who Received a Rapamycin-Coated Stent**

IVUS Parameter	Post (n=)	4-Month Follow-Up (n=)	12-Month Follow-Up (n=)
Mean proximal vessel area (mm <sup>2</sup> )	16.53 $\pm$ 3.53 (27)	16.31 $\pm$ 4.36 (28)	13.96 $\pm$ 2.26 (13)
Mean distal vessel area (mm <sup>2</sup> )	13.12 $\pm$ 3.68 (26)	13.53 $\pm$ 4.17 (26)	12.49 $\pm$ 3.25 (14)

TABLE 2.0

The data illustrated that there is minimal loss of vessel area proximally or distally which indicates that inhibition of negative remodeling has occurred in vessels treated with rapamycin-coated stents.

Other than the stent itself, there have been no effective solutions to the problem of vascular remodeling. Accordingly, rapamycin may represent a biological approach to controlling the vascular remodeling phenomenon.

It may be hypothesized that rapamycin acts to reduce negative remodeling in several ways. By specifically blocking the proliferation of

fibroblasts in the vascular wall in response to injury, rapamycin may reduce the formation of vascular scar tissue. Rapamycin may also affect the translation of key proteins involved in collagen formation or metabolism.

- 5           Rapamycin used in this context includes rapamycin and all analogs, derivatives and congeners that bind FKBP12 and possess the same pharmacologic properties as rapamycin.

- 10           In a preferred embodiment, the rapamycin is delivered by a local delivery device to control negative remodeling of an arterial segment after balloon angioplasty as a means of reducing or preventing restenosis. While any delivery device may be utilized, it is preferred that the delivery device comprises a stent that includes a coating or sheath which elutes or releases rapamycin. The delivery system for such a device may comprise a local  
15   infusion catheter that delivers rapamycin at a rate controlled by the administrator.

- Rapamycin may also be delivered systemically using an oral dosage form or a chronic injectible depot form or a patch to deliver rapamycin for a  
20   period ranging from about seven to forty-five days to achieve vascular tissue levels that are sufficient to inhibit negative remodeling. Such treatment is to be used to reduce or prevent restenosis when administered several days prior to elective angioplasty with or without a stent.

- 25           Data generated in porcine and rabbit models show that the release of rapamycin into the vascular wall from a nonerodible polymeric stent coating in a range of doses (35-430 ug/15-18 mm coronary stent) produces a peak fifty to fifty-five percent reduction in neointimal hyperplasia as set forth in Table 3 below. This reduction, which is maximal at about twenty-eight to thirty days, is  
30   typically not sustained in the range of ninety to one hundred eighty days in the porcine model as set forth in Table 4 below.



**Animal Studies with Rapamycin-coated stents.**  
**Values are mean  $\pm$  Standard Error of Mean**

5

Study	Duration	Stent <sup>1</sup>	Rapamycin	N	Neointimal Area (mm <sup>2</sup> )	% Change From	
						Polyme	Metal
<b>Porcine</b>							
98009	14 days	Metal		8	2.04 $\pm$ 0.17		
		1X + rapamycin	153 $\mu$ g	8	1.66 $\pm$ 0.17*	-42%	-19%
		1X + TC300 + rapamycin	155 $\mu$ g	8	1.61 $\pm$ 0.18*	-47%	-28%
99005	28 days	Metal		10	2.29 $\pm$ 0.21		
		1X + TC30 + rapamycin	130 $\mu$ g	8	3.91 $\pm$ 0.60**		
		1X + TC100 + rapamycin	120 $\mu$ g	9	2.81 $\pm$ 0.34		+23%
					2.62 $\pm$ 0.21		+14%
99006	28 days	Metal		12	4.57 $\pm$ 0.46		
		EVA/BMA 3X		12	5.02 $\pm$ 0.62		+10%
		1X + rapamycin	125 $\mu$ g	11	2.84 $\pm$ 0.31* **	-43%	-38%
		3X + rapamycin	430 $\mu$ g	12	3.06 $\pm$ 0.17* **	-39%	-33%
		3X + rapamycin	157 $\mu$ g	12	2.77 $\pm$ 0.41* **	-45%	-39%
99011	28 days	Metal		11	3.09 $\pm$ 0.27		
		1X + rapamycin	189 $\mu$ g	14	4.52 $\pm$ 0.37		
		3X + rapamycin/dex	182/363 $\mu$ g	14	3.05 $\pm$ 0.35		-1%
					2.72 $\pm$ 0.71		-12%
99021	60 days	Metal		12	2.14 $\pm$ 0.25		
		1X + rapamycin	181 $\mu$ g	12	2.95 $\pm$ 0.38		+38%
99034	28 days	Metal		8	5.24 $\pm$ 0.58		
		1X + rapamycin	166 $\mu$ g	8	2.47 $\pm$ 0.33**		-53%
		3X + rapamycin/dex	185/369 $\mu$ g	6	2.42 $\pm$ 0.64**		-54%
20001	28 days	Metal		8	1.81 $\pm$ 0.09		
		1X + rapamycin	172 $\mu$ g	5	1.66 $\pm$ 0.44		-8%
20007	30 days	Metal		9	2.94 $\pm$ 0.43		
		1XTC + rapamycin	155 $\mu$ g	10	1.40 $\pm$ 0.11*		-52%*
<b>Rabbit</b>							
99018	28 days	Metal		8	1.20 $\pm$ 0.07		
		EVA/BMA 1X		10	1.26 $\pm$ 0.16		+5%
		1X + rapamycin	64 $\mu$ g	9	0.92 $\pm$ 0.14	-27%	-23%
		1X + rapamycin	198 $\mu$ g	10	0.68 $\pm$ 0.12* **	-48%	-45%
99020	28 days	Metal		12	1.18 $\pm$ 0.10		
		EVA/BMA 1X + rapamycin	197 $\mu$ g	8	0.61 $\pm$ 0.16		-32%

<sup>1</sup>Stent nomenclature: EVA/BMA 1X, 2X, and 3X signifies approx. 500 $\mu$ g, 1000 $\mu$ g, and 1500 $\mu$ g total mass (polymer + drug), respectively. TC, top coat of 30 $\mu$ g, 100 $\mu$ g, or 300 $\mu$ g drug-free BMA; Biphasic; 2 x 1X layers of rapamycin in EVA/BMA separated by a 100 $\mu$ g drug-free BMA layer. <sup>2</sup>0.25mg/kg/d x 14 d preceded by a loading dose of 0.5mg/kg/d x 3d prior to stent implantation.

\*p<0.05 from EVA/BMA control. \*\*p<0.05 from Metal;

<sup>3</sup>Inflammation score: (0 = essentially no intimal involvement; 1 = <25% intima involved; 2 =  $\geq$ 25% intima involved; 3 = >50% intima involved).

10

TABLE 3.0

**180 day Porcine Study with Rapamycin-coated stents.  
Values are mean  $\pm$  Standard Error of Mean**

Study	Duration	Stent	Rapamycin	N	Neointimal Area (mm <sup>2</sup> )	% Change From		Inflammation Score #
						Polyme	Metal	
20007 (ETP-2-002233-P)	3 days	Metal		10	0.38 $\pm$ 0.08			1.05 $\pm$ 0.06
		1XTC + rapamycin	155 $\mu$ g	10	0.29 $\pm$ 0.03		-24%	1.08 $\pm$ 0.04
	30 days	Metal		9	2.94 $\pm$ 0.43			0.11 $\pm$ 0.08
		1XTC + rapamycin	165 $\mu$ g	10	1.40 $\pm$ 0.11*		-52%*	0.25 $\pm$ 0.10
	80 days	Metal		10	3.45 $\pm$ 0.34			0.20 $\pm$ 0.08
		1XTC + rapamycin	155 $\mu$ g	10	3.03 $\pm$ 0.29		-12%	0.80 $\pm$ 0.23
		1X + rapamycin	171 $\mu$ g	10	2.88 $\pm$ 0.35		-17%	0.60 $\pm$ 0.23
	180 days	Metal		10	3.65 $\pm$ 0.39			0.65 $\pm$ 0.21
		1XTC + rapamycin	165 $\mu$ g	10	3.34 $\pm$ 0.31		-8%	1.50 $\pm$ 0.34
		1X + rapamycin	171 $\mu$ g	10	3.87 $\pm$ 0.28		+6%	1.68 $\pm$ 0.37

5

TABLE 4.0

The release of rapamycin into the vascular wall of a human from a nonerodible polymeric stent coating provides superior results with respect to the magnitude and duration of the reduction in neointimal hyperplasia within the stent as compared to the vascular walls of animals as set forth above.

Humans implanted with a rapamycin coated stent comprising rapamycin in the same dose range as studied in animal models using the same polymeric matrix, as described above, reveal a much more profound reduction in neointimal hyperplasia than observed in animal models, based on the magnitude and duration of reduction in neointima. The human clinical response to rapamycin reveals essentially total abolition of neointimal hyperplasia inside the stent using both angiographic and intravascular ultrasound measurements. These results are sustained for at least one year as set forth in Table 5 below.

**Patients Treated (N=45 patients) with a Rapamycin-coated Stent**

<b>Effectiveness Measures</b>	<b>Sirolimus FIM (N=45 Patients, 45 Lesions)</b>	<b>95% Confidence Limit</b>
Procedure Success (QCA)	100.0% (45/45)	[92.1%,100.0%]
4-month In-Stent Diameter Stenosis (%)		
Mean±SD (N)	4.8%±6.1% (30)	[2.6%,7.0%]
Range (min,max)	(-8.2%,14.9%)	
6-month In-Stent Diameter Stenosis (%)		
Mean±SD (N)	8.9%±7.6% (13)	[4.8%,13.0%]
Range (min,max)	(-2.9%,20.4%)	
12-month In-Stent Diameter Stenosis (%)		
Mean±SD (N)	8.9%±6.1% (15)	[5.8%,12.0%]
Range (min,max)	(-3.0%,22.0%)	
4-month In-Stent Late Loss (mm)		
Mean±SD (N)	0.00±0.29 (30)	[-0.10,0.10]
Range (min,max)	(-0.51,0.45)	
6-month In-Stent Late Loss (mm)		
Mean±SD (N)	0.25±0.27 (13)	[0.10,0.39]
Range (min,max)	(-0.51,0.91)	
12-month In-Stent Late Loss (mm)		
Mean±SD (N)	0.11±0.36 (15)	[-0.08,0.29]
Range (min,max)	(-0.51,0.82)	
4-month Obstruction Volume (%) (IVUS)		
Mean±SD (N)	10.48%±2.78% (28)	[9.45%,11.51%]
Range (min,max)	(4.60%,16.35%)	
6-month Obstruction Volume (%) (IVUS)		
Mean±SD (N)	7.22%±4.60% (13)	[4.72%,9.72%]
Range (min,max)	(3.82%,19.88%)	
12-month Obstruction Volume (%) (IVUS)		
Mean±SD (N)	2.11%±5.28% (15)	[0.00%,4.78%]
Range (min,max)	(0.00%,19.89%)	
6-month Target Lesion Revascularization (TLR)	0.0% (0/30)	[0.0%,9.5%]
12-month Target Lesion Revascularization (TLR)	0.0% (0/15)	[0.0%,18.1%]

QCA = Quantitative Coronary Angiography

5 SD = Standard Deviation

IVUS = Intravascular Ultrasound

TABLE 5.0

10 Rapamycin produces an unexpected benefit in humans when delivered from a stent by causing a profound reduction in in-stent neointimal hyperplasia that is sustained for at least one year. The magnitude and duration of this benefit in humans is not predicted from animal model data. Rapamycin used in

this context includes rapamycin and all analogs, derivatives and congeners that bind FKBP12 and possess the same pharmacologic properties as rapamycin.

5        These results may be due to a number of factors. For example, the greater effectiveness of rapamycin in humans is due to greater sensitivity of its mechanism(s) of action toward the pathophysiology of human vascular lesions compared to the pathophysiology of animal models of angioplasty. In addition, the combination of the dose applied to the stent and the polymer coating that controls the release of the drug is important in the effectiveness of the drug.

10

      As stated above, rapamycin reduces vascular hyperplasia by antagonizing smooth muscle proliferation in response to mitogenic signals that are released during angioplasty injury. Also, it is known that rapamycin prevents T-cell proliferation and differentiation when administered systemically.

15    It has also been determined that rapamycin exerts a local inflammatory effect in the vessel wall when administered from a stent in low doses for a sustained period of time (approximately two to six weeks). The local anti-inflammatory benefit is profound and unexpected. In combination with the smooth muscle anti-proliferative effect, this dual mode of action of rapamycin may be

20    responsible for its exceptional efficacy.

      Accordingly, rapamycin delivered from a local device platform, reduces neointimal hyperplasia by a combination of anti-inflammatory and smooth muscle anti-proliferative effects. Rapamycin used in this context means

25    rapamycin and all analogs, derivatives and congeners that bind FKBP12 and possess the same pharmacologic properties as rapamycin. Local device platforms include stent coatings, stent sheaths, grafts and local drug infusion catheters or porous balloons or any other suitable means for the *in situ* or local delivery of drugs, agents or compounds.

30

      The anti-inflammatory effect of rapamycin is evident in data from an experiment, illustrated in Table 6, in which rapamycin delivered from a stent was compared with dexamethasone delivered from a stent. Dexamethasone, a

potent steroidal anti-inflammatory agent, was used as a reference standard. Although dexamethasone is able to reduce inflammation scores, rapamycin is far more effective than dexamethasone in reducing inflammation scores. In addition, rapamycin significantly reduces neointimal hyperplasia, unlike dexamethasone.

Group Rapamycin Rap	N=	Neointimal Area (mm <sup>2</sup> )	% Area Stenosis	Inflammation Score
Uncoated	8	5.24 ± 1.65	54 ± 19	0.97 ± 1.00
Dexamethasone (Dex)	8	4.31 ± 3.02	45 ± 31	0.39 ± 0.24
Rapamycin (Rap)	7	2.47 ± 0.94*	26 ± 10*	0.13 ± 0.19*
Rap + Dex	6	2.42 ± 1.58*	26 ± 18*	0.17 ± 0.30*

\* = significance level  $P < 0.05$

TABLE 6.0

Rapamycin has also been found to reduce cytokine levels in vascular tissue when delivered from a stent. The data in Figure 1 illustrates that rapamycin is highly effective in reducing monocyte chemotactic protein (MCP-1) levels in the vascular wall. MCP-1 is an example of a proinflammatory/chemotactic cytokine that is elaborated during vessel injury. Reduction in MCP-1 illustrates the beneficial effect of rapamycin in reducing the expression of proinflammatory mediators and contributing to the anti-inflammatory effect of rapamycin delivered locally from a stent. It is recognized that vascular inflammation in response to injury is a major contributor to the development of neointimal hyperplasia.

Since rapamycin may be shown to inhibit local inflammatory events in the vessel it is believed that this could explain the unexpected superiority of rapamycin in inhibiting neointima.

As set forth above, rapamycin functions on a number of levels to produce such desired effects as the prevention of T-cell proliferation, the

inhibition of negative remodeling, the reduction of inflammation, and the prevention of smooth muscle cell proliferation. While the exact mechanisms of these functions are not completely known, the mechanisms that have been identified may be expanded upon.

5

Studies with rapamycin suggest that the prevention of smooth muscle cell proliferation by blockade of the cell cycle is a valid strategy for reducing neointimal hyperplasia. Dramatic and sustained reductions in late lumen loss and neointimal plaque volume have been observed in patients receiving rapamycin delivered locally from a stent. The present invention expands upon the mechanism of rapamycin to include additional approaches to inhibit the cell cycle and reduce neointimal hyperplasia without producing toxicity.

The cell cycle is a tightly controlled biochemical cascade of events that regulate the process of cell replication. When cells are stimulated by appropriate growth factors, they move from G<sub>0</sub> (quiescence) to the G1 phase of the cell cycle. Selective inhibition of the cell cycle in the G1 phase, prior to DNA replication (S phase), may offer therapeutic advantages of cell preservation and viability while retaining anti-proliferative efficacy when compared to therapeutics that act later in the cell cycle i.e. at S, G2 or M phase.

Accordingly, the prevention of intimal hyperplasia in blood vessels and other conduit vessels in the body may be achieved using cell cycle inhibitors that act selectively at the G1 phase of the cell cycle. These inhibitors of the G1 phase of the cell cycle may be small molecules, peptides, proteins, oligonucleotides or DNA sequences. More specifically, these drugs or agents include inhibitors of cyclin dependent kinases (cdk's) involved with the progression of the cell cycle through the G1 phase, in particular cdk2 and cdk4.

30

Examples of drugs, agents or compounds that act selectively at the G1 phase of the cell cycle include small molecules such as flavopiridol and its structural analogs that have been found to inhibit cell cycle in the late G1

phase by antagonism of cyclin dependent kinases. Therapeutic agents that elevate an endogenous kinase inhibitory protein<sup>k<sub>ip</sub></sup> called P27, sometimes referred to as P27<sup>k<sub>ip</sub>1</sup>, that selectively inhibits cyclin dependent kinases may be utilized. This includes small molecules, peptides and proteins that either block  
5 the degradation of P27 or enhance the cellular production of P27, including gene vectors that can transfect the gene to produce P27. Staurosporin and related small molecules that block the cell cycle by inhibiting protein kinases may be utilized. Protein kinase inhibitors, including the class of tyrphostins that selectively inhibit protein kinases to antagonize signal transduction in smooth  
10 muscle in response to a broad range of growth factors such as PDGF and FGF may also be utilized.

Any of the drugs, agents or compounds discussed above may be administered either systemically, for example, orally, intravenously,  
15 intramuscularly, subcutaneously, nasally or intradermally, or locally, for example, stent coating, stent covering or local delivery catheter. In addition, the drugs or agents discussed above may be formulated for fast-release or slow release with the objective of maintaining the drugs or agents in contact with target tissues for a period ranging from three days to eight weeks.

20 As set forth above, the complex of rapamycin and FKBP12 binds to and inhibits a phosphoinositide (PI)-3 kinase called the mammalian Target of Rapamycin or TOR. An antagonist of the catalytic activity of TOR, functioning as either an active site inhibitor or as an allosteric modulator, i.e. an indirect  
25 inhibitor that allosterically modulates, would mimic the actions of rapamycin but bypass the requirement for FKBP12. The potential advantages of a direct inhibitor of TOR include better tissue penetration and better physical/chemical stability. In addition, other potential advantages include greater selectivity and specificity of action due to the specificity of an antagonist for one of multiple  
30 isoforms of TOR that may exist in different tissues, and a potentially different spectrum of downstream effects leading to greater drug efficacy and/or safety.

The inhibitor may be a small organic molecule (approximate mw<1000), which is either a synthetic or naturally derived product. Wortmanin may be an agent which inhibits the function of this class of proteins. It may also be a peptide or an oligonucleotide sequence. The inhibitor may be administered  
5 either systemically (orally, intravenously, intramuscularly, subcutaneously, nasally, or intradermally) or locally (stent coating, stent covering, local drug delivery catheter). For example, the inhibitor may be released into the vascular wall of a human from a nonerodible polymeric stent coating. In addition, the inhibitor may be formulated for fast-release or slow release with the objective of  
10 maintaining the rapamycin or other drug, agent or compound in contact with target tissues for a period ranging from three days to eight weeks.

As stated previously, the implantation of a coronary stent in conjunction with balloon angioplasty is highly effective in treating acute vessel closure and  
15 may reduce the risk of restenosis. Intravascular ultrasound studies (Mintz et al., 1996) suggest that coronary stenting effectively prevents vessel constriction and that most of the late luminal loss after stent implantation is due to plaque growth, probably related to neointimal hyperplasia. The late luminal loss after coronary stenting is almost two times higher than that observed after  
20 conventional balloon angioplasty. Thus, inasmuch as stents prevent at least a portion of the restenosis process, the use of drugs, agents or compounds which prevent inflammation and proliferation, or prevent proliferation by multiple mechanisms, combined with a stent may provide the most efficacious treatment for post-angioplasty restenosis.

25 The local delivery of drugs, agents or compounds from a stent has the following advantages; namely, the prevention of vessel recoil and remodeling through the scaffolding action of the stent and the drugs, agents or compounds and the prevention of multiple components of neointimal hyperplasia. This  
30 local administration of drugs, agents or compounds to stented coronary arteries may also have additional therapeutic benefit. For example, higher tissue concentrations would be achievable than that which would occur with systemic administration, reduced systemic toxicity, and single treatment and ease of



administration. An additional benefit of drug therapy may be to reduce the dose of the therapeutic compounds, thereby limiting their toxicity, while still achieving a reduction in restenosis.

5        There are a multiplicity of different stents that may be utilized following percutaneous transluminal coronary angioplasty. Although any number of stents may be utilized in accordance with the present invention, for simplicity, one particular stent will be described in exemplary embodiments of the present invention. The skilled artisan will recognize that any number of stents may be  
10        utilized in connection with the present invention.

      A stent is commonly used as a tubular structure left inside the lumen of a duct to relieve an obstruction. Commonly, stents are inserted into the lumen in a non-expanded form and are then expanded autonomously, or with the aid  
15        of a second device in situ. A typical method of expansion occurs through the use of a catheter-mounted angioplasty balloon which is inflated within the stenosed vessel or body passageway in order to shear and disrupt the obstructions associated with the wall components of the vessel and to obtain an enlarged lumen. As set forth below, self-expanding stents may also be  
20        utilized.

      Figure 2 illustrates an exemplary stent 100 which may be utilized in accordance with an exemplary embodiment of the present invention. The expandable cylindrical stent 100 comprises a fenestrated structure for  
25        placement in a blood vessel, duct or lumen to hold the vessel, duct or lumen open, more particularly for protecting a segment of artery from restenosis after angioplasty. The stent 100 may be expanded circumferentially and maintained in an expanded configuration, that is circumferentially or radially rigid. The stent 100 is axially flexible and when flexed at a bend, the stent 100 avoids any  
30        externally-protruding component parts.

      The stent 100 generally comprises first and second ends with an intermediate section therebetween. The stent 100 has a longitudinal axis and

comprises a plurality of longitudinally disposed bands 102, wherein each band 102 defines a generally continuous wave along a line segment parallel to the longitudinal axis. A plurality of circumferentially arranged links 104 maintain the bands 102 in a substantially tubular structure. Essentially, each

5 longitudinally disposed band 102 is connected at a plurality of periodic locations, by a short circumferentially arranged link 104 to an adjacent band 102. The wave associated with each of the bands 102 has approximately the same fundamental spatial frequency in the intermediate section, and the bands 102 are so disposed that the wave associated with them are generally aligned

10 so as to be generally in phase with one another. As illustrated in the figure, each longitudinally arranged band 102 undulates through approximately two cycles before there is a link to an adjacent band.

The stent 100 may be fabricated utilizing any number of methods. For

15 example, the stent 100 may be fabricated from a hollow or formed stainless steel tube that may be machined using lasers, electric discharge milling, chemical etching or other means. The stent 100 is inserted into the body and placed at the desired site in an unexpanded form. In one embodiment, expansion may be effected in a blood vessel by a balloon catheter, where the

20 final diameter of the stent 100 is a function of the diameter of the balloon catheter used.

It should be appreciated that a stent 100 in accordance with the present invention may be embodied in a shape-memory material, including, for

25 example, an appropriate alloy of nickel and titanium. In this embodiment, after the stent 100 has been formed it may be compressed so as to occupy a space sufficiently small as to permit its insertion in a blood vessel or other tissue by insertion means, wherein the insertion means include a suitable catheter, or flexible rod. On emerging from the catheter, the stent 100 may be configured

30 to expand into the desired configuration where the expansion is automatic or triggered by a change in pressure, temperature or electrical stimulation.

Figure 3 illustrates an exemplary embodiment of the present invention utilizing the stent 100 illustrated in Figure 2. As illustrated, the stent 100 may be modified to comprise a reservoir 106. Each of the reservoirs may be opened or closed as desired. These reservoirs 106 may be specifically  
5 designed to hold the drug, agent, compound or combinations thereof to be delivered. Regardless of the design of the stent 100, it is preferable to have the drug, agent, compound or combinations thereof dosage applied with enough specificity and a sufficient concentration to provide an effective dosage in the lesion area. In this regard, the reservoir size in the bands 102 is  
10 preferably sized to adequately apply the drug/drug combination dosage at the desired location and in the desired amount.

In an alternate exemplary embodiment, the entire inner and outer surface of the stent 100 may be coated with various drug and drug  
15 combinations in therapeutic dosage amounts. A detailed description of exemplary coating techniques is described below.

Rapamycin or any of the drugs, agents or compounds described above may be incorporated into or affixed to the stent in a number of ways and  
20 utilizing any number of biocompatible materials. In the exemplary embodiment, the rapamycin is directly incorporated into a polymeric matrix and sprayed onto the outer surface of the stent. The rapamycin elutes from the polymeric matrix over time and enters the surrounding tissue. The rapamycin preferably remains on the stent for at least three days up to approximately six months and  
25 more preferably between seven and thirty days.

Any number of non-erodible polymers may be utilized in conjunction with rapamycin. In the exemplary embodiment, the polymeric matrix comprises two layers. The base layer comprises a solution of ethylene-co-vinylacetate and  
30 polybutylmethacrylate. The rapamycin is incorporated into this layer. The outer layer comprises only polybutylmethacrylate and acts as a diffusion barrier to prevent the rapamycin from eluting too quickly and entering the surrounding tissues. The thickness of the outer layer or top coat determines the rate at

which the rapamycin elutes from the matrix. Essentially, the rapamycin elutes from the matrix by diffusion through the polymer molecules. Polymers tend to move, thereby allowing solids, liquids and gases to escape therefrom. The total thickness of the polymeric matrix is in the range from about 1 micron to about 20 microns or greater.

The ethylene-co-vinylacetate, polybutylmethacrylate and rapamycin solution may be incorporated into or onto the stent in a number of ways. For example, the solution may be sprayed onto the stent or the stent may be dipped into the solution. In a preferred embodiment, the solution is sprayed onto the stent and then allowed to dry. In another exemplary embodiment, the solution may be electrically charged to one polarity and the stent electrically changed to the opposite polarity. In this manner, the solution and stent will be attracted to one another. In using this type of spraying process, waste may be reduced and more control over the thickness of the coat may be achieved.

Since rapamycin works by entering the surrounding tissue, it is preferably only affixed to the surface of the stent making contact with one tissue. Typically, only the outer surface of the stent makes contact with the tissue. Accordingly, in a preferred embodiment, only the outer surface of the stent is coated with rapamycin. For other drugs, agents or compounds, the entire stent may be coated.

It is important to note that different polymers may be utilized for different stents. For example, in the above-described embodiment, ethylene-co-vinylacetate and polybutylmethacrylate are utilized to form the polymeric matrix. This matrix works well with stainless steel stents. Other polymers may be utilized more effectively with stents formed from other materials, including materials that exhibit superelastic properties such as alloys of nickel and titanium.

Although shown and described is what is believed to be the most practical and preferred embodiments, it is apparent that departures from

specific designs and methods described and shown will suggest themselves to those skilled in the art and may be used without departing from the spirit and scope of the invention. The present invention is not restricted to the particular constructions described and illustrated, but should be constructed to cohere  
5 with all modifications that may fall within the scope of the appended claims.

## WHAT IS CLAIMED IS:

1. A method for the prevention of constrictive remodeling comprising the controlled delivery, by release from an intraluminal medical device,  
5 of a compound in therapeutic dosage amounts.
2. The method for the prevention of constrictive remodeling according to Claim 1, further includes utilizing the compound to block the proliferation of fibroblasts in the vascular wall in response to injury,  
10 thereby reducing the formation of vascular scar tissue.
3. The method for the prevention of constrictive remodeling according to Claim 2, wherein the compound comprises rapamycin.
- 15 4. The method for the prevention of constrictive remodeling according to Claim 2, wherein the compound comprises analogs and congeners that bind a high-affinity cytosolic protein, FKBP12, and possesses the same pharmacologic properties as rapamycin.
- 20 5. The method for the prevention of constrictive remodeling according to Claim 1, further includes utilizing the compound to affect the translation of certain proteins involved in the collagen formation or metabolism.
- 25 6. The method for the prevention of constrictive remodeling according to Claim 5, wherein the compound comprises rapamycin.
7. The method for the prevention of constrictive remodeling according to Claim 5, wherein the compound comprises analogs and  
30 congeners that bind a high-affinity cytosolic protein, FKBP12, and possesses the same pharmacologic properties as rapamycin.

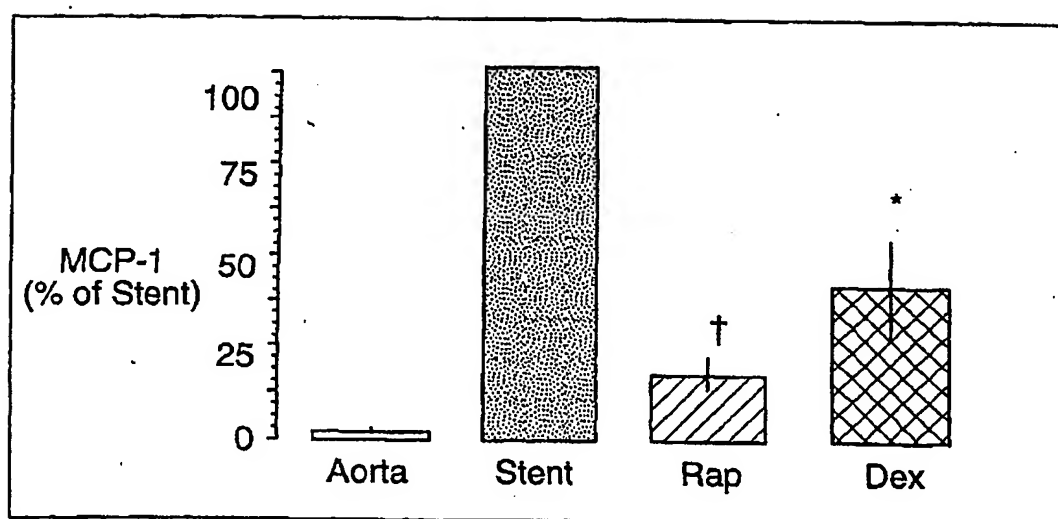
- 5 8. A drug delivery device comprising:  
an intraluminal medical device; and  
a therapeutic dosage of an agent releasably affixed to the  
intraluminal medical device for the treatment of constrictive vascular  
remodeling.
- 10 9. The drug delivery device according to Claim 8, wherein the agent  
blocks the proliferation of fibroblasts in the vascular wall in response to  
injury, thereby reducing the formation of vascular scar tissue.
- 15 10. The drug delivery device according to Claim 9, wherein the agent  
comprises rapamycin.
- 20 11. The drug delivery device according to Claim 9, wherein the agent  
comprises analogs and congeners that bind a high-affinity cytosolic  
protein, FKBP12, and possesses the same pharmacologic properties as  
rapamycin.
- 25 12. The drug delivery device according to Claim 8, wherein the agent  
affects the translation of certain proteins involved in the collagen  
formation or metabolism.
- 30 13. The drug delivery device according to Claim 12, wherein the  
agent comprises rapamycin.
14. The drug delivery device according to Claim 12, wherein the  
agent comprises analogs and congeners that bind a high-affinity  
cytosolic protein, FKBP12, and possesses the same pharmacologic  
properties as rapamycin.
15. The drug delivery device according to Claim 8, wherein the  
intraluminal medical device comprises a stent.

16. The drug delivery device according to Claim 15, wherein the agent is incorporated in a non-erodible polymeric matrix coating affixed to the stent.

5

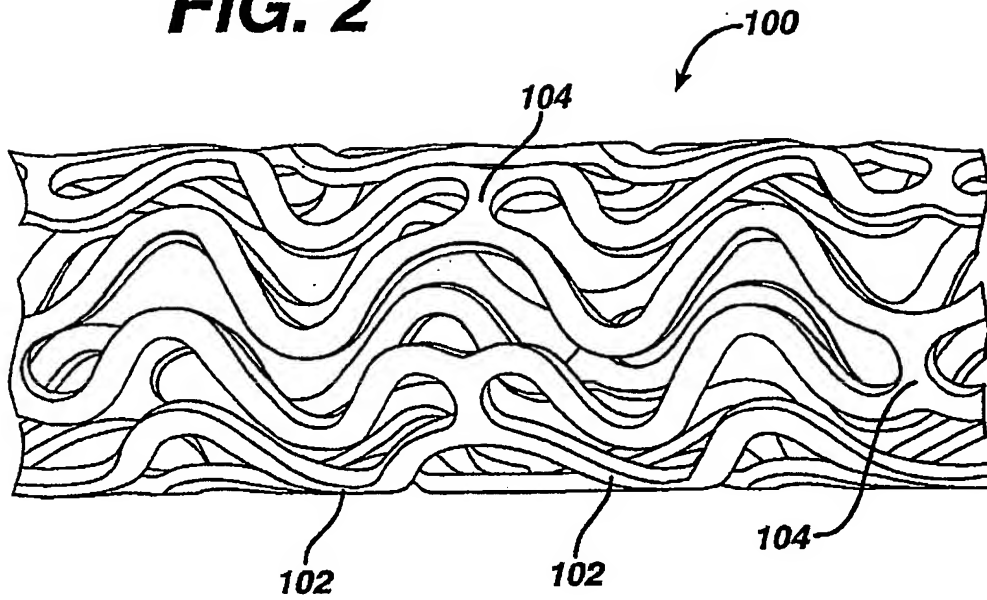


1/2

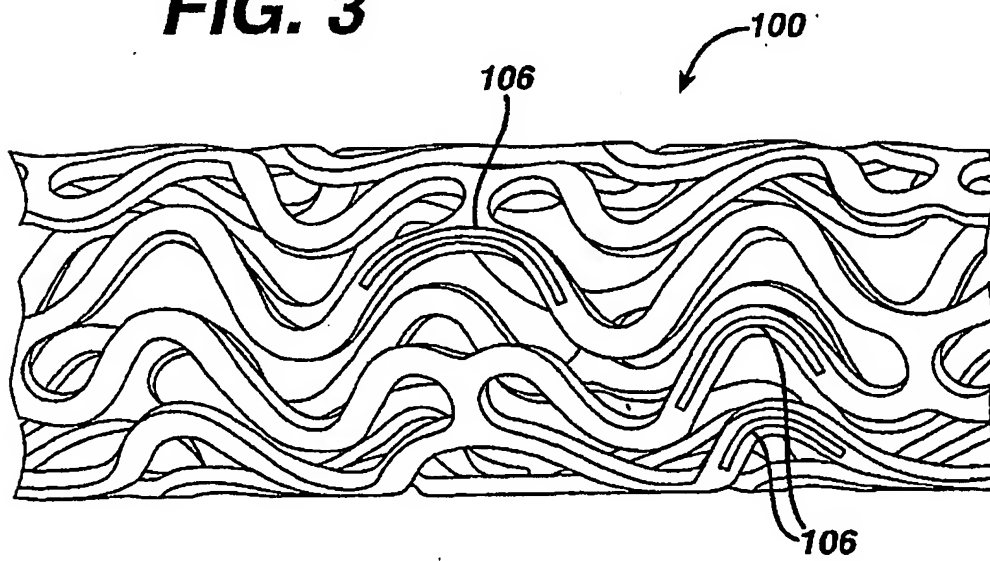
**FIG. 1**

2/2

**FIG. 2**



**FIG. 3**



# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 01/15564

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 A61L31/16

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61F A61L A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, PAJ, WPI Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 950 386 A (CORDIS CORP) 20 October 1999 (1999-10-20) figures 3A,3B paragraphs '0012!', '0023!', '0028!'-'0031!', '0034! claims ---	1-16
X	US 5 981 568 A (RENO JOHN M ET AL) 9 November 1999 (1999-11-09)  claims ---	1,2,4,5, 7-9,11, 12,14-16
X	WO 98 36784 A (COOK INC) 27 August 1998 (1998-08-27)  page 6, line 29 -page 7, line 14 page 12, line 14 -page 13, line 27 claims -----	1,2,4,5, 7-9,11, 12,14-16

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents:

\*A\* document defining the general state of the art which is not considered to be of particular relevance

\*E\* earlier document but published on or after the international filing date

\*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

\*O\* document referring to an oral disclosure, use, exhibition or other means

\*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*A\* document member of the same patent family

Date of the actual completion of the international search

2 October 2001

Date of mailing of the international search report

11/10/2001

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Thornton, S

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 01/15564

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
EP 0950386	A	20-10-1999	US 6273913 B1 EP 0950386 A2	14-08-2001 20-10-1999
US 5981568	A	09-11-1999	US 5811447 A BR 9808109 A EP 0975340 A2 WO 9843618 A2 US 6074659 A US 6268390 B1 US 5733925 A CA 2162587 A1 EP 0702557 A1 JP 8510209 T US 6251920 B1 WO 9426291 A1 US 6262079 B1 US 5595722 A US 5770609 A AU 4985196 A EP 0809515 A1 JP 11500635 T CA 2212537 A1 WO 9625176 A1 US 6171609 B1	22-09-1998 08-03-2000 02-02-2000 08-10-1998 13-06-2000 31-07-2001 31-03-1998 24-11-1994 27-03-1996 29-10-1996 26-06-2001 24-11-1994 17-07-2001 21-01-1997 23-06-1998 04-09-1996 03-12-1997 19-01-1999 22-08-1996 22-08-1996 09-01-2001
WO 9836784	A	27-08-1998	AU 737252 B2 AU 6663298 A EP 0968013 A1 WO 9836784 A1	16-08-2001 09-09-1998 05-01-2000 27-08-1998

CORRECTED VERSION

(19) World Intellectual Property Organization  
International Bureau(43) International Publication Date  
22 November 2001 (22.11.2001)

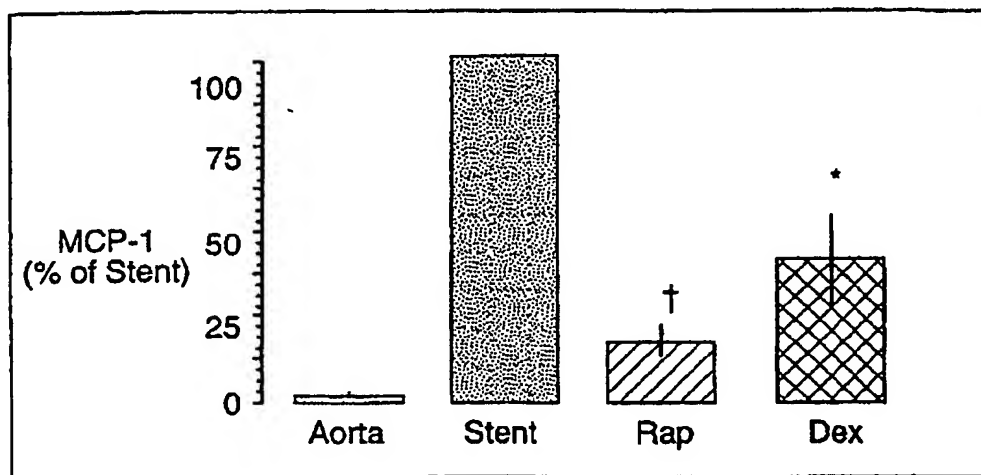
PCT

(10) International Publication Number  
**WO 01/87376 A1**

- (51) International Patent Classification<sup>7</sup>: **A61L 31/16** (74) Agent: JOHNSON, Philip, S.; Johnson & Johnson, 1 Johnson & Johnson Plaza, New Brunswick, NJ 08903 (US).
- (21) International Application Number: PCT/US01/15564 (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.
- (22) International Filing Date: 14 May 2001 (14.05.2001)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:  
60/204,417 12 May 2000 (12.05.2000) US  
09/575,480 19 May 2000 (19.05.2000) US  
60/262,614 18 January 2001 (18.01.2001) US  
60/262,461 18 January 2001 (18.01.2001) US  
60/263,806 24 January 2001 (24.01.2001) US  
60/263,979 25 January 2001 (25.01.2001) US  
09/850,293 7 May 2001 (07.05.2001) US
- (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
- Published:  
— with international search report
- (71) Applicant: CORDIS CORPORATION [US/US]; 14201 N.W. 60th Avenue, Miami Lakes, NJ 33014 (US).
- (48) Date of publication of this corrected version: 4 April 2002
- (72) Inventor: FALOTICO, Robert; 40 Black Horse Run, Belle Mead, NJ 08502 (US).
- (15) Information about Correction:  
see PCT Gazette No. 14/2002 of 4 April 2002, Section II

[Continued on next page]

(54) Title: DRUG/DRUG DELIVERY SYSTEMS FOR THE PREVENTION AND TREATMENT OF VASCULAR DISEASE



(57) Abstract: A drug and drug delivery system may be utilized in the treatment of vascular disease. A local delivery system is coated with rapamycin or other suitable drug, agent or compound and delivered intraluminally for the treatment and prevention of neointimal hyperplasia following percutaneous transluminal coronary angiography. The local delivery of the drugs or agents provides for increased effectiveness and lower systemic toxicity.



WO 01/87376 A1

WO 01/87376 A1



*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record.**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**